Smart Cities: Technological Challenges and Issues





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IEEE CS Keynote at ADCOM 2015, Chennai, India, September 19, 2015

These slides and video recording of this presentation are at: <u>http://www.cse.wustl.edu/~jain/talks/smrtcit.htm</u>

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- 1. What is a smart city? Why now? How?
- 2. City IQ: How to measure smartness of a city
- 3. Smart Cities in India and RoW (Rest of the World)
- 4. Challenges: Non-Technical and Technical
- 5. What can we (researchers) do?

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Smart Everything				
Smart Watch	Image: Contract of the state of the sta	George Smart Car		
Example 1 Smart Health	Example 1 Smart Home	Smart Kegs		
Smart Space	Smart Industries	Smart Cities		
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What's Smart?

- □ Old: Smart = Can think \Rightarrow Can compute
- Now: Smart = Can find quickly, Can Delegate
 ⇒ Communicate = Networking
- □ Grid vs. Smart Grid, Meter vs. Smart Meter, Car vs, Smart Car, Home vs. Smart home. Smart = Connected
- □ Smart City vs. City



What's the Problem?

- \Box City = 100,000+ population
- Over 50% of world population lives in cities and growing. By 2050, 75% will live in urban areas
- \Box Mega city = 10+ million population
 - > 11 in Asia, 4 in Latin America, 2 in Africa, 2 in Europe, 2 in North America = 21 Total in 2010
 - > 29-37 in 2025 with 14-22 in Asia
- □ City population is growing much faster than resources
 ⇒ Need sustainable ways to manage resources for city living:
 Water, Electricity, Housing,...⇒ Quality of life ⇒ Smart City

 Ref: V. Aillaud, "Digital economy and smart métropolies : a joint future?," http://www.europmetrocci.eu/l/Portals/0/

 EuropmetrocciDocuments/london%2030-31%20october%202013/PARIS%20Smart-cities%20Valerie%20AILLAUD.ppt

 J. Bélissent, "Getting Clever About Smart Cities: New Opportunities Require New Business Models," Forester, Nov 2010, 33 pp.,

 http://193.40.244.77/iot/wp-content/uploads/2014/02/getting_clever_about_smart_cities_new_opportunities.pdf

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Old vs. New

- Governments: Last to adopt new technologies
- Amazon, Face book, Google: Use the latest technologies Internet, smart phones, tablets, RFID, sensors, social media
- Smart ⇒ Run a city like Amazon.com User driven, Dynamic, Real-time, Technology-oriented



Numerous offices



Single interface for all services

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Ref: Gibson, D.V., Kozmetsky, G., Smilor, R.W. (eds.), "The Technopolis Phenomenon: Smart Cities, Fast Systems, Global Networks," Rowman & Littlefield, New York (1992)

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Why Are We Solving the Problem Now?



- \$27.5 billion annual revenue in smart city technology by 2023
 \$174 billion investment by 2023
- Cisco, Intel, Huawei, IBM, Fujitsu, SIEMENS are all selling ICT for smart cities
- Central government in India will spend ~\$7 billion for smart cities in the next five years ⇒ Theme for ADCOM 2015
 ⇒ ADCOM 2015 selected Chennai instead of Bangaluru

 Ref: Navigant Research, "Smart Cities," https://www.navigantresearch.com/research/smart-cities

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 https://www.navigantresearch.com/research/smart-cities



A 7-Layer Model of Smart Cities



ICT for Smart City

- 1. Acquisition (Sensors): Provide real-time information about usage, availability, demand, state of resources
- 2. Interconnection (Networking): FTTH, 4G LTE, IP multimedia system (IMS)
- 3. Integration: Combine sensor data with other city data such as geographical information, economic data, population data.
- 4. Analytics: Predictive analytics, machine learning, data mining
- 5. Apps and Software: Cloud Computing: Computation, storage, and ubiquitous accessibility, Service oriented Architecture (SOA)

ICT is the heart of Smart Cities

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Smart Services: Examples

- London's Datastore: Jobs, Waste, Crime, Visitors, ... All open to public, <u>http://data.london.gov.uk/</u>
- New Songdo City, Incheon, South Korea: All city services available via Internet, video conferencing, <u>http://www.songdo.com/</u>
- Delhi police app to report crime 55,000 reports in 6 months
- In Melbourne, All trees have been assigned ID numbers so that public can report tree problems, overgrown branches, fallen trees, etc.



Smart Trees



To: Golden Elm, Tree ID 1037148 21 May 2015

I'm so sorry you're going to die soon. It makes me sad when trucks damage your low hanging branches. Are you as tired of all this construction work as we are?



□ Trees are getting email messages

Ref: http://www.give-a-tree-an-email-address/398210/Washington University in St. Louishttp://www.cse.wustl.edu/~jain/talks/smrtcit.htm

City IQ: Benchmark for Smartness



- ISO 37120:2014 Sustainable Development of Communities: Indicators for City Services and Quality of Life
- Using 17 themes and 100 indicators for city services and quality of life, World Council of City Data (WCCD) give a city one of five levels.



List of Smart Cities

□ World Council on City Data (WCCD): Partial List

City	Country	Year	Level
Amsterdam	Netherlands	2014	Aspirational
Helsinki	Finland	2014	Aspirational
Johannesburg	South Africa	2014	Aspirational
Shanghai	China	2014	Aspirational
Buenos Aires	Argentina	2014	Gold
Makkah	Saudi Arabia	2014	Gold
Melbourne	Australia	2014	Gold
Barcelona	Spain	2014	Platinum
Boston	United States of America	2014	Platinum
Dubai	United Arab Emirates	2014	Platinum
London	United Kingdom	2014	Platinum
Rotterdam	Netherlands	2014	Platinum
Toronto	Canada	2014	Platinum
Los Angeles	United States of America	2015	Platinum

Ref: <u>http://www.dataforcities.org/registry</u> Washington University in St. Louis

http://www.cse.wustl.edu/~jain/talks/smrtcit.htm

Areas Measured by ISO 37120:2014

- 1. Economy
- 2. Education
- 3. Energy
- 4. Environment
- 5. Finance
- 6. Fire and emergency response
- 7. Governance
- 8. Health
- 9. Recreation

- 10. Safety
- 11. Shelter
- 12. Solid waste
- Telecommunications and innovation
- 14. Transportation
- 15. Urban planning
- 16. Wastewater
- 17. Water and sanitation

Indicators

- Indicators: Quantitative, qualitative, or descriptive measures 47 of 100 are core.
- Core (Required), Supporting (Recommended), Profile (Informative) indicators
- **Example:** Education
 - 1. % of female school aged population enrolled in schools (core)
 - 2. % of students completing primary education: survival rate (core)
 - 3. % of students completing secondary education: survival rate (core)
 - 4. Primary education student/teacher ratio (core)
 - 5. % of male school-aged population enrolled in schools (supporting)
 - 6. % of school-aged population enrolled in schools (supporting)
 - # of higher education degrees per 100,000 population (supporting)

Ref: ANSI, "ISO 37120-2014 Preview Final V2, <u>http://publicaa.ansi.org/sites/apdl/ANSI%20Network%20on%20Smart%20and%20</u> Sustainable%20Cities/ISO+37120-2014_preview_final_v2.pdf

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Smart Cities Research in US

- □ White House "Smart Cities Week" (Sep 15-18, 2015)
- □ \$40 M Research funding from NSF
 - Gigabit applications healthcare, energy, transportation, manufacturing, education and learning, and public safety.
 - Cyber physical systems
- □ Make Broadband construction faster:
 - > Websites to list all federal assets available for broadband
 - > Broadband installation during new road construction
- **US** Ignite Program
 - > Multi-gigabit connections in and between cities
 - ➤ Multi-gigabit Applications ⇒ Uncompressed video

 Ref: NSF, "Cultivating Smart and Connected Communities," http://nsf.gov/news/news_summ.jsp?cntn_id=136253

 Smart City Week, http://www.smartcitiesweek.com/

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 http://www.cse.wustl.edu/~jain/talks/smrtcit.htm

Who is interested in Smart Cities?

Google Trends:



India	100	
Italy	33	-
Spain	30	-
Belgium	26	-
Netherlands	13	•
United Kingdom	13	•
United States	9	

 $\Box India >> Europe >> USA$

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Region City



Cities in India

- Over 50% of world population lives in cities.
 31% of India's population lives in cities Will be 40% by 2030
- 63% of India's GDP comes from cities Will be 75% by 2030
- Rs. 48,000 crores (~\$7 Billion) over 5 years
 ⇒ Rs. 100 crore/year/city
 Matching amount from states
 More from partnerships

Ref: Government of India, Ministry of Urban Development, "Smart Cities: Mission Statement and Guidelines," June 2015, http://smartcities.gov.in/writereaddata/SmartCityGuidelines.pdf

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Challenges

- Financing: Self-sustaining ⇒ Revenue generating. Federal or state financing is just "seed" funding Private Partnerships ⇒ Revenue sharing or bartering
- 2. Ensuring **fairness** to all localities of a city \Rightarrow Private companies want the best revenue generating areas
- 3. **Public Trust**: in government, the data, and expect actions Lack of transparency \Rightarrow Waste of money on technologies
- 4. **Customization**: Every city is different. Private companies want to reuse their "one solution for all"
- 5. Turnover: Technology gets outdated every year or two
- 6. Digital **Disruption**
- 7. Security and Privacy

J. Bélissent, "Getting Clever About Smart Cities: New Opportunities Require New Business Models," Forester, Nov 2010, 33 pp., <u>http://193.40.244.77/iot/wp-content/uploads/2014/02/getting_clever_about_smart_cities_new_opportunities.pdf</u> Washington University in St. Louis <u>http://www.cse.wustl.edu/~jain/talks/smrtcit.htm</u> ©2015 Raj Jain



Digital Disruptions

- ❑ New methods ⇒ Improvements
 ⇒ Disruption to old methods
- ❑ Automation ⇒ Better efficiency
 ⇒ What to do with those replaced
- □ Privatization, Automation, Change ⇒ Strikes







Security: Attack Surface

- 1. Sensors and systems
- 2. Operating systems (Open OS)
- 3. Access Network: WiFi, 3G/4G, Ethernet, Powerline, ...
- 4. IP Network: DNS, Routers, ...
- 5. Clouds
- 6. Management Platform: Web interface
- 7. Life Cycle Management: Booting, Pairing, Updating, ...
- 8. Higher-layer Protocols
- 9. Applications
- 10. Human (Social engineering)

Security Statistics

- □ HP conducted a security analysis of smart (IoT) devices
 - ➢ 80% had privacy concerns
 - ➤ 80% had poor passwords
 - ➤ 70% lacked encryption
 - ➢ 60% had vulnerabilities in UI
 - ▹ 60% had insecure updates
- Symantec Security Study:
 - > 22% of targeted attacks are aimed at governments and energy/utility companies
 - > 24% of identity breaches are targeted at government and healthcare institutions

 Ref: <a href: <a href: http://fortifyprotect.com/HP_IoT_Research_Study.pdf

 Symantec, "2013 Symantec Internet Security Threat Report," http://www.symantec.com/security_response/publications/threatreport.jsp?

 inid=us_ghp_thumbnail1_istr-2013

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Smart City Insecurity

- Smart Court House: Placer county courthouse accidently summoned 1200 people to jury duty on a morning in May 2012 causing traffic jams
- Smart Metro: Bay Area Rapid Transit (BART) was shut down by a technical problem affecting 500 to 1000 passengers on 19 trains (November 2013)
- Smart Electricity: 55 Million people in Northeast USA lost electric power due to a software bug
- Not marking a pipeline on the map lead to a gas pipe line explosion and fire in Johnson County, Texas by workers installing electrical lines
- ❑ Nation states and cyber terrorists know how to make use of public data ⇒ Smart Wars

 Ref: C. Cerrudo, "Hacking smart cities," RSA Conference 2015,

 <u>http://www.rsaconference.com/writable/presentations/file_upload/hta-t10-hacking-smart-cities_final.pdf</u>

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WHAT WILL THE WARTEN GLANDING LOOK LIKE?

Areas of Research for Smart Cities

- 1. Broadband: DSL, FTTH, Wi-Fi, 5G, Wireless Networks
- 2. Mobile and Pervasive computing,
- 3. Middleware and Agent Technologies for embedded devices
- 4. Smart devices, sensors giving real-time information
- 5. Big-data, data mining, Machine learning, Predictive analytics
- 6. Web-based collaboration, Crowd sourcing
- 7. Cloud computing
- 8. Internet of Things
- 9. Social networking
- Applications: Remote health, On-line education, on-line laboratories, ...

Broadband: Next Generation (5G)

By 2020: 1000X Traffic, 100X devices, 100X data rate, 1/5X latency, 10X battery life...



Government-Citizen Partnership

- Provide open data
- □ Challenge citizens to participate by design apps contests
- □ Encourage citizens to participate by **crowd sourcing**
- □ Invest in research to develop local strategies
- National government needs to invest in large inter-city infrastructures



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City-University Partnership

- □ Presence of universities is a weak predictor of new educational startups ⇒ Universities need to connect
- Universities can help local government with the technology development, adoption, training, and analytics
- □ What Can we (Researchers) Do?
 - > Extend our research in to applications that are large scale
 - Develop collaborations for integration of fields
 - > Provide proof-of-concepts
 - > Provide Open-Source development environment



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Universities as Smart Testbeds

- Green Cup Competition for all hostels
 - > Users can see their consumption
 - Each week top 3 winners get points
 - > Posting pictures to Facebook
 - > Wearing green t-shirts
- Green Labs Competition:
 - > Reduce energy consumption in lab
 - > Unplug whenever possible
 - > Recycle
 - Label equipment

Ref: <u>http://greencup.wustl.edu/</u>, <u>http://greenlabs.wustl.edu/</u>

T. Vander Ark, "Smart Cities that work for everyone," Erfrig publishing, 2015, ISBN:978-1-63233-034Washington University in St. Louishttp://www.cse.wustl.edu/~jain/talks/smrtcit.htm







Summary

- 1. Smart City = Infrastructure + ICT + Sustainability + People-Private-Public Partnerships
- 2. Numerous challenges: Sustainable partnerships, Digital disruption, fast technology turnover, trust, ...
- 3. Smart City is a great opportunity for computer scientists: Researchers, Industry, Developers, Engineers
- 4. Numerous Research Areas: Broadband (5G), Security/Privacy, big data, cloud computing
- 5. Universities need to get involved by providing technology, test-beds, and proof-of-concepts

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http://greencup.wustl.edu/, <u>http://greenlabs.wustl.edu/</u>				
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- □ Smart City Week, <u>http://www.smartcitiesweek.com/</u>
- □ T. Ezaki, "IEC SEG 1 Smart Cities WG Brief Report," <u>http://www.y-adagio.com/public/committees/iec_tc100_ags/meetings/36/100ags602.pdf</u>
- □ V. Aillaud, "Digital economy and smart métropolies : a joint future?

http://www.europmetrocci.eu/l/Portals/0/EuropmetrocciDocuments/london %2030-31%20october%202013/PARIS%20Smartcities%20Valerie%20AILLAUD.ppt

□ WCCD, "WCCD ISO 37120 Certification," <u>http://www.dataforcities.org/iso</u>

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Standards for Smart Cities

- 1. **ISO 37120:** Sustainable development & resilience of communities Indicators for city services & quality of life
- 2. **ISO/TR 37150:** Smart community infrastructures Review of existing activities relevant to metrics
- 3. **ISO 37101:** Sustainable development & resilience of communities -Management systems - General principles & requirements
- 4. **ISO 37102:** Sustainable development & resilience of communities Vocabulary
- 5. **ISO/TR 37121:** Inventory & review of existing indicators on sustainable development & resilience in cities
- 6. **ISO/TS 37151:** Smart community infrastructure metrics General principles & requirements
- 7. **ISO/TR 37152:** Smart community infrastructures -- Common framework for development & operation

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- □ IEC/SEG 1: Systems Evaluation Group on Smart Cities
- □ ITU-T SG5 FG-SSC: Focus group on smart sustainable cities
 - SSC-0100-Rev 2: Smart Sustainable cities Analysis of Definitions
 - SSC-0110: Technical Report on Standardization Activities and Gaps for SSC and suggestion to SG5, ITU-T
 - SSC 162: Key performance indicators (KPIs) definitions for smart sustainable cities
- CEN-CENELEC-ETSI SSCC-CG: Smart and Sustainable Cities and Communities Coordination Group
- **JTC 1**: Study Group on Smart Cities
- □ ISO TC 59:
 - > ISO 15686: Buildings and constructed assets Service life planning
 - ISO 16739: Industry foundation classes (IFC) for data sharing in the construction and facility management industries

Ref: T. Ezaki, "IEC SEG 1 Smart Cities WG Brief Report," <u>http://www.y-adagio.com/public/committees/iec_tc100_ags/meetings/36/</u> 100ags602.pdf

 ITU-T Focus Group on Smart Sustainable Cities, https://www.itu.int/dms_pub/itu-t/oth/0b/04/T0B0400004F2C01PDFE.pdf

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- □ ISO TC 204: Intelligent transport systems
- □ ISO TC 163 and ISO TC 205:
 - ISO 16346: Energy performance of buildings Assessment of overall energy performance
 - ISO 16343: Energy performance of buildings Methods for expressing energy performance and for energy certification of buildings
 - ISO 12655: Energy performance of buildings Presentation of measured energy use of buildings
 - ISO/TR 16344:2012, Energy performance of buildings Common terms, definitions and symbols for the overall energy performance rating and certification
 - ISO 13153-2012: Framework of the design process for energy saving single-family residential and small commercial buildings

- □ ISO TC 223:
 - ISO 22316: Societal Security Organizational resilience Principles and guideline
 - ISO 22301-2012: Societal security Business continuity management systems – Requirements
 - ISO 22313-212: Societal security Business continuity management systems – Guidance
 - > ISO 22398: Societal security Guidelines for exercises
 - ISO 22320-2011: Societal Security Emergency management Requirements for Incident response
 - ISO 22324: Societal security emergency management color coded alert
- **ISO TC 241:**
 - ISO 39001-2012: Road traffic safety (RTS) management systems Requirements with guidance for use

□ ISO TC 257:

- ISO 50001-2011: Energy management systems Requirements with guidance for use
- ISO/CD 17741 General technical rules for measurement, calculation and verification of energy savings of projects
- ISO/DIS 17742 General Calculation Measures on Energy Efficiency and Savings for Countries, Regions and Cities
- ISO/DIS 17743 Energy savings -- Definition of a metrological framework applicable to calculation and reporting on energy savings
- ISO/CD 17747 Determination of energy savings in organizations
- ISO/DIS 50015 Energy management systems -Measurement and Verification of Organizational Energy Performance -- General Principles and Guidance
- **TC 268**: Sustainable development in communities
- **TC 268/SC 1**: Smart community infrastructures

□ ISO/TC TMB: Technical Management Board

- ISO 20121-2012: Event sustainability management systems Requirements with guidance for use
- > ISO 26000-2010: Guidance on social responsibility
- > IEEE: IEEE 2030.1, 2030.2 smart grid interoperability, 1901, 1901.2 smart meter
- □ NIST: Smart Grid Interoperability
- German Commission for Electrical, Electronic & Information Technologies of DIN and VDE (DKE):
 - Roadmap and recommendations for action in Germany
- **British Standards Institute (BSI):**
 - > BSI PAS 180: Smart Cities Vocabulary
 - BSI PAS 181: Smart City Framework Guide to establishing strategies for smart cities and communities

BSI PAS 182: Smart City Concept Model

- BSI PD 8100: Smart City Overview A guide for city managers
- BSI PD 8101: Smart Cities Guide to the role of the planning and development process
- > BS 8904 Guidance for community sustainable development
- » BS 11000 Collaborative Relationship Management
- China National IT Standardization (NITS) TC:
 - Investigation Report on Status of Smart Cities and Standard Needs in China
 - > Draft Research Report on China Standard System on Smart Cities
 - Implementation Guidance for Smart Cities (a book)

Acronyms

- □ 4G Fourth Generation
- **5**G Fift Generation
- ANSI American National Standards Institute
- BJP Bhartiya Janata Party
- **BS** British Standard
- **BSI** British Standards Institute
- **CD** Committee Draft
- **CEN** European Committee for Standardization
- **CENELEC** European Committee for Electro technical Standardization
- **CG** Coordination Group
- □ CS Computer Society (IEEE)
- DIN
 Deutsches Institut f
 ür Normung (German Institute for Standardization)
- **DIS** Draft International Standard
- DSL Digital Subscriber Line
- **DTS** Draft Technical Specification

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- **ETSI** European Telecommunications Union
- □ FG-SSC Focus group on smart sustainable cities
- □ FTTH Fiber to the home
- □ FTTx Fiber to the X
- **GDP** Gross Domestic Production
- **GIS** Geographical Information Systems
- **GPS** Global Positioning System
- □ ICT Information and Communication Technology
- □ ID Identification
- IEC International Electrotechnical Commission
- □ IEC/SEG IEC Systems Evaluation Group
- □ IEEE Institute of Electrical and Electronic Engineers
- □ IFC Industry Foundation Classes
- □ IMS IP Multimedia System
- □ IoT Internet of Things

□ IP	Internet Protocols
□ IQ	Intelegence Quotient
□ ISBN	International Standard Book Number
□ ISO	International Standards Organization
□ IT	Information Technology
□ ITU-T	International Telecommunications Union - Telecommunication Standardization Sector
□ JTC	Joint Technical Committee
□ KPI	Key Performance Indicator
□ LTE	Long-Term Evolution
□ MO	Missouri
NIST	National Institute of Technology
□ NSF	National Science Foundation
□ OS	Operating System
PAS	Publicly Available Specification
D PD	Published Document

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- □ RFID Radio Frequency Identifier
- □ RoW Rest of the World
- □ RTS Road traffic safety
- **SC** Smart community
- SEG System Evaluation Group
- □ SG5 Study Group 5
- □ SOA Service oriented Architecture
- □ SSC Smart and Sustainable Cities and
- SSCC-CG Smart and Sustainable Cities and Communities Coordination Group
- □ SW Software
- **TC** Technical Committee
- **TMB** Technical Management Board
- **TR** Technical Report
- **TS** Technical Specification
- **TV** Television

- US United States
- □ USA United States of America
- □ VDE Association for Electrical, Electronic & Information Technologies
- □ WCCD World Council on City Data
- □ WG Working Group
- □ WiFi Wireless Fidelity

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