

# CSE547T Class 17

Jeremy Buhler

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## 1 Intro to Complexity

- Up until now, we cared only whether a computation could be done at all.
- We're now going to switch gears and consider how *fast* a computation can be done.
- The answer will be a lot more sensitive to the details of our computational model.
- To get started, let's lay the ground with some definitions.

How do we measure the complexity (in space and time) of a computation?

- Let  $M$  be a (deterministic) TM that decides language  $L$ . The *running time* of  $M$  on input  $w$  is the number of moves taken by  $M$  before it accepts or rejects  $M$ .
- The running time  $t(n)$  of  $M$  on inputs of size  $n$  is its maximum running time on any input of size  $n$ .
- The *space* used  $M$  on input  $w$  is the number of tape cells ever read by  $M$  before it accepts or rejects  $M$ .
- The space  $s(n)$  used by  $M$  on inputs of size  $n$  is its maximum space usage on any input of size  $n$ .

Note that, as you have probably seen before, we measure worst-case time and space usage as a function of input size  $n$ .

- As usual, we care not about performance for any particular  $n$  but the asymptotic behavior as  $n$  becomes large.
- In particular, we'd like to show that  $t(n) = O(f(n))$  for some well-behaved function  $f(n)$ ; that is, for large enough  $n$ ,  $t(n) \leq cf(n)$ .
- Or, we might want to show that  $t(n)$  grows strictly slower than  $f(n)$  in an asymptotic sense, i.e.  $t(n) = o(f(n))$ , meaning

$$\lim_{n \rightarrow \infty} \frac{t(n)}{f(n)} = 0$$

- (Pause to make sure everyone also knows  $\Omega, \omega, \Theta$ .)

Every TM has an associated worst-case complexity  $t(n)$ . We can ask “which languages have a fast TM to decide them?”

- The time complexity of a language  $L$  is the time complexity of the fastest TM (in the worst-case asymptotic sense) that decides  $L$ .
- For example, every regular language has time complexity  $O(n)$  (because a TM can simulate a DFA in time linear in its input size).
- Let  $\text{DTIME}(t(n))$  be the set of *all* languages with time complexity  $O(t(n))$ .
- $\text{DTIME}(t(n))$  is a (time) complexity class of problems.

## 2 A Simple Example

- Consider  $L_{01} = \{0^n 1^n \mid n \geq 0\}$ .
- We know that  $L$  is decidable but not regular.
- How might we build a *single-tape* TM to decide  $L$  quickly?
- *Idea*: locate the middle and compare corresponding chars.
- On input  $w$ ,
  1. Scan  $w$  and make sure it has form  $0^i 1^j$ . While doing this, mark locations of first 0 and of first 1.
  2. Reset to left end of tape.
  3. Advance the two marks, each one step at a time, until the first mark ends up over a 1. At that point, accept iff the second mark is over a blank preceded by a 1.
- How fast is this TM on input of size  $n$ ?
- Initial scan, marking, and reset take  $O(n)$  steps.
- Each advance of the two marks requires us to travel to the second mark, then back to the first, which surely takes  $\Theta(n)$  steps.
- We have to go back and forth until we’ve checked all the 0’s, so total time is  $\Theta(n) \times \Theta(n) = \Theta(n^2)$ .

So,  $L_{01} \in \text{DTIME}(n^2)$ . Is this the best we can do?

- Let’s see if we can decide this language faster.
- Can we avoid making  $\Theta(n)$  passes over the input?
- *Obs*: Suppose  $w$  has form  $0^n 1^n$ . If we look at only every other 0 and every other 1 of  $w$  (starting with left-most in each case), the resulting *decimated string* also has form  $0^m 1^m$ .
- If  $w$  does *not* have form  $0^n 1^n$ , but is in  $0^* 1^*$ , then either

- the numbers of 0s and 1s have opposite parity, or
- the numbers of 0s and 1s have the same parity.
- In the first case, we can trivially reject  $w$ . In the second case, the decimated string remains unbalanced.
- This suggests the following algorithm.
  1. Check that  $w \in \{0^*1^*\}$ . If not, reject.
  2. If  $w = \epsilon$ , accept.
  3. If  $w = 0$  or  $w = 1$ , reject.
  4. “Cross off” every other 0 and 1, starting with left-most of each.
  5. If parity of #'s of crossed off 0s and 1s differ, reject.
  6. Otherwise, recur from second step, considering only chars not crossed off.
- For correctness, proceed by induction on  $|w|$  for all strings  $w$  of form  $0^i1^j$ .
- Algo does the right thing for any string of length  $\leq 1$ .
- For a longer string  $w$ , we’ve argued above that decimation rejects some strings of the wrong form, and recursively maintains right or wrong form for all others.
- by IH, decimated string is accepted iff it has form  $0^m1^m$ .

Is the second algorithm faster than the first?

- Each pass takes constant time to check for base cases, plus  $O(n)$  time for an input of size  $n$  to cross off even-numbered 0’s and 1’s.
- Each pass reduces the number of remaining characters by at least half.
- Hence, in at most  $\log n$  passes, we reach a base case.
- Conclude that total time for this algo is  $O(n \log n)$ .
- Hence,  $L_{01} \in \text{DTIME}(n \log n)$ .

### 3 How Does Complexity Interact with TM Extensions?

- Previously, we introduced some extensions to TMs to help us describe algorithms with them.
- These extensions provably did not change the set of languages a TM can decide.
- But what do they do to complexity of decision algorithm?
- The simplest extensions (marking, remembering a cell) take at most constant time each time we use them.
- Seeking takes time proportional to the seek length.

Let’s think about multi-tape TMs.

- We know that any  $k$ -tape TM can be simulated by a single-tape TM.
- But adding tapes can impact complexity!
- *Example:* we can easily decide  $L_{01}$  in time  $O(n)$  using a 2-tape TM.
- After checking that  $w \in 0^*1^*$ , copy the 0s to tape 2, then use the heads on the two tapes to “match” the 0s on tape 2 to the 1s on tape 1.
- *Interesting fact:* we cannot decide  $L_{01}$ , or any non-regular language, in time  $o(n \log n)$  on a single-tape TM (Prove it!) Hence, this two-tape TM can decide  $L_{01}$  strictly faster than any one-tape TM.
- Hmm... so how much extra performance might multiple tapes buy us?
- **Thm:** if a  $k$ -tape TM can decide a language in time  $t(n) \geq n$ , then a single-tape TM can do it in time  $O(t(n)^2)$ .
- **Pf:** think about how we simulated  $k$  tapes on a single-tape TM.
- Each tape cell stored  $k$  symbols, one per tape.
- The head position for each tape was stored as a unique mark.
- In order to make one move of the  $k$ -tape TM, we must
  - locate and read the symbol under each tape’s head
  - Update the symbol under each tape’s head and move head by up to one cell.
- Heads could be at any position on the tape.
- Hence, after TM has taken  $m$  steps, we might have to scan up to  $m$  tape cells to find all the heads.
- Similarly, we might have to scan up to  $m$  cells to update all the heads after reading them all.
- Hence, simulating  $m$ th step of the multi-tape TM takes time  $O(m)$  on the single-tape TM.
- Conclude that, if the multi-tape TM makes at most  $t(n)$  moves to decide its input, then our single-tape simulation makes at most  $O(t(n) \times t(n)) = O(t(n)^2)$  moves.
- **Bad News:** simulating multiple tapes (this way) has a nontrivial cost.
- **Good News:** if your multi-tape TM can decide a language in time  $O(n^c)$ , then a single-tape TM can decide it in time  $O(n^{2c})$ , which is still polynomial in  $n$ .
- **Caveat:** we did *not* prove that there is no faster way to simulate a multi-tape TM by a single-tape TM, or that any particular problem cannot be solved faster on a single-tape TM than via this multi-tape simulation.

OK, what about our other major extension – nondeterminism?

- Recall that a non-deterministic TM, like an NFA, can “try all possibilities” and accept if any set of nondeterministic choices leads to acceptance.
- **Example:** Let  $X = \{x_1 \dots x_n\}$  be a set of numbers.
- $L_{SSS} = \{X, t \mid \text{some subset of the } x\text{'s adds up to } t\}$ .
- a non-deterministic TM could simply choose each  $x_i$  or not nondeterministically, then accept if the ones it chooses add up to  $t$ .
- For  $n$  distinct numbers, each of  $\log n$  bits, this computation takes time  $O(n \log n)$  (we can add two  $\log n$ -bit numbers in  $O(\log n)$  time).
- Do you know of a deterministic, polynomial-time algorithm for this problem? (*Hint:* nobody does.)
- Nondeterminism seems awfully powerful!

What about the simulation we developed for nondeterminism?

- Let  $M$  be a non-deterministic TM. Say that at any step,  $M$  can choose one of at most  $b \geq 2$  next moves non-deterministically.
- Our simulation uses a 3-tape TM  $M'$ .
- One tape caches a copy of the input  $w$ , while another stores non-deterministic choices for each move (as a base- $b$  integer).
- The main tape simulated execution of  $M$  on  $w$  using the current set of non-deterministic choices. If  $M$  accepts, so does  $M'$ .
- We use iterative deepening search to try computations of length 1, 2, 3, and so forth, enumerating all  $b^k$  possible non-deterministic for computation of length  $k$ .
- How long does the simulation take?
- **Thm:** if a non-deterministic TM can decide a language in time  $t(n) \geq n$ , then a deterministic, single-tape TM can do it in time  $2^{O(t(n))}$ .
- **Pf:**  $M$  runs for at most  $t(n)$  steps for *some* set of non-deterministic choices before accepting or rejecting.
- The simulation tries  $b^k$  computations of length  $k$  for each  $k$  up to  $t(n)$ .
- Hence, total number of steps in  $M$  is at most  $\sum_{k=1}^{t(n)} ckb^k$  for some constant  $c$ .
- For  $b \geq 2$ , this sum is at most  $ct(n)b^{t(n)}$ .
- Applying a bit of math, we have

$$\begin{aligned}
 ct(n)b^{t(n)} &= b^{t(n)+\log_b t(n)+\log_b c} \\
 &= 2^{\log_b(2) \times (t(n)+\log_b t(n)+\log_b c)} \\
 &= 2^{O(t(n))}.
 \end{aligned}$$

- Now  $M'$  has three tapes, so a single-tape TM implementing this simulation might need time up to  $d[2^{O(t(n))}]^2$ .
- But this is still  $2^{O(t(n))}$ .
- Hence, we can simulate a non-deterministic TM by a deterministic, single-tape TM, but this simulation might take *exponentially* more time!
- Can we do better? Tune in next time!