### Lecture 2: Limit Tests and ADTs



These slides include material originally prepared by Dr.Ron Cytron, Dr. Jeremy Buhler, and Dr. Steve Cole.

### Announcements

- Lab 1 is due on 2/8
  - Log in to Gradescope and try uploading something soon! Don't get caught by tech issue at last minute.
- Studio 2 Thursday (do short pre-lab beforehand)
- Office Hours locations now posted
- Outline for today:
  - Limit Tests
  - Algorithm Comparisons
  - Abstract Data Types
  - Linked Lists

### Review from Last Time: O, $\Omega$ , $\Theta$



Describes order of growth ignoring behavior for small n, constant factors

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### How Did We Prove O, $\Omega$ , and $\Theta$ Bounds?

- Pick constants c, n<sub>0</sub> (two constants needed for Θ)
- Prove the right bounding inequality (or pair of inequalities for Θ)
- Used a variety of math tools for this proof
  - arithmetic
  - algebra
  - calculus

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Is there a single, uniform proof strategy that gives answers quickly and requires less creativity? 5

#### Suppose

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$$

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#### Which function grows faster?

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#### Claim: f(n) = O(g(n))

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### Which function grows faster? g(n) Claim: f(n) = O(g(n))For any constant c > 0, we can find $n_0$ s.t. $f(n)/g(n) \le c$ when $n \ge n_0$ .



### Suppose $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$

### Which function grows faster? g(n)Claim: f(n) = O(g(n))For c=1, we can find $n_0$ s.t. $f(n) \le c g(n)$ when $n \ge n_0$ .

# Suppose $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$

### Which function grows faster? g(n) Claim: f(n) = O(g(n))For c=1, we can find $n_0$ s.t. $f(n) \le c g(n)$ when $n \ge n_0$ . QED



### Suppose $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$

### Which function grows faster? g(n)Claim: $f(n) \neq \Omega(g(n))$

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# Suppose $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$

### Which function grows faster? g(n)

### Claim: $f(n) \neq \Omega(g(n))$

Suppose  $f(n) = \Omega(g(n))$ . Then for some c > 0,  $n_0 > 0$ ,  $f(n) \ge c g(n)$  when  $n \ge n_0$ .

# Suppose $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$

### Which function grows faster? g(n)

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### Suppose $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$

#### Which function grows faster? g(n)

### Claim: $f(n) \neq \Omega(g(n))$

Suppose  $f(n) = \Omega(g(n))$ . Then for some  $0, n_0 > 0$ , But then the limit above would be at least c > 0.



#### **Contradiction!**

### Suppose $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$

#### Which function grows faster? g(n)

### Claim: $f(n) \neq \Omega(g(n))$

Suppose  $f(n) = \Omega(g(n))$ .

Implication: this assumption was false. QED

### What Have We Learned?

• Thm: when 
$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$$

• f(n) = O(g(n)) but  $f(n) \neq \Omega(g(n))$ 

### What Have We Learned?

• Thm: when 
$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$$

• 
$$f(n) = O(g(n))$$
 but  $f(n) \neq \Omega(g(n))$ 

- We sometimes write "f(n) = o(g(n))"
- "f(n) is little-o of g(n)"

# Suppose $\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$

#### Now which function grows faster?

# Suppose $\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$

#### Now which function grows faster? f(n)

# Suppose $\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$

#### Now which function grows faster? f(n)

#### Thm: $f(n) = \Omega(g(n))$ but $f(n) \neq O(g(n))$

[proof strategy is basically identical to previous]

# Suppose $\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$

### Now which function grows faster? f(n) Thm: f(n) is $\Omega(g(n))$ but not O(g(n))We sometimes write "f(n) = $\omega(g(n))$ "

"f(n) is little-omega of g(n)"

Suppose  $\lim_{n \to \infty} \frac{f(n)}{g(n)} = k, \ k > 0$ 



 $\exists n_0 \mid \forall n \ge n_0 \quad f(n) \le (k+\epsilon)g(n) \to f(n) = O(g(n))$ 

Suppose 
$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = k, \ k > 0$$
  
Similar logic: after some point the ratio must be  $\geq k - \epsilon$  for any fixed  $\epsilon > 0$ .  
Then  
 $\exists n_0 \mid \forall n \ge n_0 \quad f(n) \le (k + \epsilon)g(n) \rightarrow f(n) = O(g(n))$   
 $\exists n_0 \mid \forall n \ge n_0 \quad g(n) \le \frac{f(n)}{(k - \epsilon)} \rightarrow f(n) = \Omega(g(n))$   
 $\exists n_0 \mid \forall n \ge n_0 \quad g(n) \le \frac{f(n)}{(k - \epsilon)} \rightarrow f(n) = \Omega(g(n))$ 

Suppose 
$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = k, \ k > 0$$

#### Thm: $f(n) = \Theta(g(n))$

[we just proved this]

# Summary: the Limit Test

### Then...



### Then...



 $\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty \ f(n) = O(g(n)) \ f(n) = \Omega(g(n))$ 

### Then...



$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty \ f(n) \equiv O(q(n)) \ f(n) = \Omega(g(n))$$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = k, \ k > 0 \qquad \qquad f(n) = \Theta(g(n))$$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$$

### Then...

f(n) = o(g(n))

$$f(n) = \omega(g(n))$$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = k, \ k > 0$$

 $f(n) = \Theta(g(n))$ 

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = k, \ k > 0$$

### Then...

"f(n) grows slower than g(n)"

"f(n) grows faster than g(n)"

"f(n), g(n) grow at same rate"

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = k, \ k > 0$$

### Then...

These are asymptotic statements
# Examples

#### Compare n<sup>3</sup> with n<sup>2</sup>

 $\begin{array}{ll} 0 & f(n) = o(g(n)) \\ \infty & f(n) = \omega(g(n)) \\ k > 0 & f(n) = \Theta(g(n)) \end{array}$  $\lim_{n\to\infty}\frac{f(n)}{g(n)}$ 

 $\lim_{n \to \infty} \frac{n^3}{n^2}$ 

#### Compare $n^3$ with $n^2$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} \begin{pmatrix} \mathbf{0} & f(n) = \mathbf{o}(g(n)) \\ \infty & f(n) = \mathbf{\omega}(g(n)) \\ \mathbf{k} > \mathbf{0} & f(n) = \Theta(g(n)) \end{pmatrix}$$

$$\lim_{n \to \infty} \frac{n^3}{n^2}$$

$$=\lim_{n\to\infty}n^{\scriptscriptstyle L}=\infty$$

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$$\lim_{n \to \infty} \frac{n^3}{n^2}$$

$$=\lim_{n\to\infty}n^1=\infty$$

$$n^3 = \omega(n^2)$$

#### Compare n<sup>3</sup> with n<sup>2</sup>

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} \begin{pmatrix} \mathbf{0} & f(n) = \mathbf{o}(g(n)) \\ \infty & f(n) = \mathbf{\omega}(g(n)) \\ \mathbf{k} > \mathbf{0} & f(n) = \Theta(g(n)) \end{pmatrix}$$

$$\lim_{n \to \infty} \frac{n^3}{n^2}$$

## $= \lim_{n \to \infty} n^{1} = \infty \qquad n^{3} = \Omega(n^{2}), \text{ but } n^{3} \neq O(n^{2})$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} \begin{pmatrix} 0 & f(n) = o(g(n)) \\ \infty & f(n) = \omega(g(n)) \\ k > 0 & f(n) = \Theta(g(n)) \end{pmatrix}$$

$$\lim_{n \to \infty} \frac{\log n}{n} = ?$$

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 Undefined!

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$$\lim_{n \to \infty} \frac{\log n}{n} = ?$$

Undefined!

Recall <u>L'Hôpital's rule</u>?

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} \begin{pmatrix} \mathbf{0} & f(n) = \mathbf{o}(g(n)) \\ \infty & f(n) = \mathbf{\omega}(g(n)) \\ \mathbf{k} > \mathbf{0} & f(n) = \Theta(g(n)) \end{pmatrix}$$







$$\lim_{n \to \infty} \frac{f(n)}{g(n)} \begin{pmatrix} 0 & f(n) = o(g(n)) \\ \infty & f(n) = \omega(g(n)) \\ k > 0 & f(n) = \Theta(g(n)) \end{pmatrix}$$

$$\lim_{n \to \infty} \frac{\log n}{n} = ?$$

$$\lim_{n \to \infty} \frac{\log n}{n} = \lim_{n \to \infty} \frac{\frac{d}{dn} \log n}{\frac{d}{dn} n} = \lim_{n \to \infty} \frac{1}{n} = 0$$

Compare log n with n  

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} \begin{bmatrix} 0 & f(n) = o(g(n)) \\ \infty & f(n) = \omega(g(n)) \\ k > 0 & f(n) = 0(g(n)) \end{bmatrix}$$

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Compare 
$$3n^2+5n+7$$
 with  $n^2 \lim_{n \to \infty} \frac{f(n)}{g(n)} \begin{bmatrix} 0 & f(n) = o(g(n)) \\ \infty & f(n) = \omega(g(n)) \\ k > 0 & f(n) = \Theta(g(n)) \end{bmatrix}$ 

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$$= \lim_{n \to \infty} 3 + \frac{5}{n} + \frac{7}{n^2}$$

= 3

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$$= \lim_{n \to \infty} 3 + \frac{5}{n} + \frac{7}{n^2}$$

### $3n^2 + 5n + 7 = \Theta(n^2)$

= 3

#### Compare $n^4$ with $2^n$

$$\lim_{n \to \infty} \frac{n^4}{2^n}$$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} \begin{pmatrix} 0 & f(n) = o(g(n)) \\ \infty & f(n) = \omega(g(n)) \\ k > 0 & f(n) = \Theta(g(n)) \end{pmatrix}$$

### Compare n<sup>4</sup> with 2<sup>n</sup>

 $\lim_{n \to \infty} \frac{n^4}{2^n}$ 



$$\lim_{n \to \infty} \frac{f(n)}{g(n)} \begin{pmatrix} 0 & f(n) = o(g(n)) \\ \infty & f(n) = \omega(g(n)) \\ k > 0 & f(n) = \Theta(g(n)) \end{pmatrix}$$



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#### f(n) = o(g(n))0 Compare n<sup>4</sup> with 2<sup>n</sup> $\lim_{n\to\infty}\frac{f(n)}{g(n)}$ $f(n) = \omega(g(n))$ $f(n) = \Theta(g(n))$ $n^4$ k > 0 $n \rightarrow \infty 2^n$ $2 = e^{\ln 2}$ $\frac{d}{dn}n^4$ $2^n = \left(e^{\ln 2}\right)^n$ $=\lim_{n\to\infty_0}$



Compare n<sup>4</sup> with 2<sup>n</sup>  

$$\lim_{n \to \infty} \frac{n^4}{2^n}$$

$$= \lim_{n \to \infty} \frac{\frac{d}{dn}n^4}{\frac{d}{dn}2^n}$$

$$2 = e^{\ln 2}$$

$$2^n = (e^{\ln 2})^n = e^{(\ln 2)n}$$

$$\frac{d}{dn}e^{(\ln 2)n} = \ln 2 e^{(\ln 2)n}$$
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Compare n<sup>4</sup> with 2<sup>n</sup>  

$$\lim_{n \to \infty} \frac{n^4}{2^n}$$

$$= \lim_{n \to \infty} \frac{\frac{d}{dn}n^4}{\frac{d}{dn}2^n}$$

$$\frac{d}{dn}e^{(\ln 2)n} = \ln 2 e^{(\ln 2)n}$$
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# More generally

Can show inductively that for any *real-valued* a > 1,  $b \ge 0$ ,

$$n^b = O(a^n)$$
 but  $n^b \neq \Omega(a^n)$ 

"Exponentials grow faster than polynomials"

# Interlude

Algorithm	Time
A1	$\Theta(n^2)$
A2	$\Theta(n\log n)$
A3	$\Omega(n^2)$
A4	$\Theta(n^3)$
A5	$\Theta(n\log n)$

Algorithm	Time
These are tight bounds, so they can easily be compared against each other. Either n log n time is better than the other two times	$\Theta(n^2)$
	$\Theta(n\log n)$
	$\Omega(n^2)$
	$\Theta(n^3)$
	$\Theta(n\log n)$













# Given an algorithm, which is best? A2 or A5 so far

Algorithm	Time	
A1	$\Theta(n^2)$	
A2	$\Theta(n\log n)$	
 <ul> <li>An O(n<sup>2</sup>) algorithm could be faster than A2 or A5</li> <li>But it could also be slower!</li> <li>We can't tell without a lower bound</li> </ul>		
A5	$\Theta(n\log n)$	

#### **And Now For Something Completely Different**

- We've been focused on how to express, compare running times.
- Now we're going to put that knowledge into practice!
- We'll start with basic data structures.

A collection is just a bunch of objects (of some common type)



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- Numbers
- Strings
- Records

•



- A collection is just a bunch of objects (of some common type)
- Each object has a key, and maybe some other attached data

Name: J. Random Hacker **Student ID: 247247** Year: Sophomore Home Town: Kalamazoo

- A collection is just a bunch of objects (of some common type)
- Each object has a key, and maybe some other attached data
- (We usually focus on the keys and ignore the rest)

# **Things We Might Do With a Collection**

- Enumerate the keys of all objects
- Add an object
- Remove an object
- Find an object by key

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### **ADTs Have Methods – for Example:**

- Enumerate()
- Add(key)
- Remove(key)
- Find(key)
- Max(), Min()

#### **ADTs Have Methods – for Example:**

- Enumerate()
- Add(key)
- Remove(key)
- Find(key)
- Max(), Min()

We know how these methods act on the collection, but not how they are implemented

### **Some Collections are Structured**

• Objects may be logically arranged inside a collection.

# **Example: Queue (Java notation)**

• Queue<T> : 2 basic operations



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  - void enqueue(T thing)
    - Adds thing to the end of the queue



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  - T dequeue()
    - Removes and returns the thing at the beginning of the queue
      - Fails if the queue is empty



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  - void enqueue(T thing)
    - Adds thing to the end of the queue
  - T dequeue()
    - Removes and returns the thing at the beginning of the queue
      - Fails if the queue is empty
  - boolean isEmpty()
    - Returns whether the queue is empty





- Queue<T>
  - Key characteristic: FIFO order
    - First In, First Out



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  - Key characteristic: FIFO order
    - First In, First Out
  - Example: add, then remove objects holding 5, 3, and 8; note FIFO order of removal



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  - Key characteristic: FIFO order
    - First In, First Out
  - Example:
    - Add: enqueue(5)



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  - Key characteristic: FIFO order
    - First In, First Out
  - Example:
    - Add: enqueue(5), enqueue(3)



- Key characteristic: FIFO order
  - First In, First Out
- Example:
  - Add: enqueue(5), enqueue(3), enqueue(8)



- Key characteristic: FIFO order
  - First In, First Out
- Example:
  - Add: enqueue(5), enqueue(3), enqueue(8)
  - Remove: x = dequeue()



- Key characteristic: FIFO order
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- Example:
  - Add: enqueue(5), enqueue(3), enqueue(8)
  - Remove: x = dequeue(), x = dequeue()



- Key characteristic: FIFO order
  - First In, First Out
- Example:
  - Add: enqueue(5), enqueue(3), enqueue(8)
  - Remove: x = dequeue(), x = dequeue(), x = dequeue()


# **Example: Stack (Java notation)**



- Stack<T> : 2 basic operations
  - Key characteristic: LIFO order
    - Last In, First Out



- Stack<T> : 2 basic operations
  - $\circ \quad \text{Key characteristic: LIFO order}$ 
    - Last In, First Out
  - Example: add 5, 3, 8 to stack, then remove -- note LIFO order
    - Add:



- Stack<T> : 2 basic operations
  - Key characteristic: LIFO order
    - Last In, First Out
  - Example
    - Add: push(5)



- Stack<T> : 2 basic operations
  - Key characteristic: LIFO order
    - Last In, First Out
  - Example
    - Add: push(5), push(3)



- Stack<T> : 2 basic operations
  - $\circ \quad \text{Key characteristic: LIFO order}$ 
    - Last In, First Out
  - Example
    - Add: push(5), push(3), push(8)







pop





- Note: elements come out in reverse order compared to Queue!
  - LIFO vs. FIFO



# An ADT can be implemented in different ways.

# **Example: Queue Implementations**

• Our picture of a Queue suggests an array

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- Idea: maintain two pointers "head" and "tail"

# **Example: Queue Implementations**

- Our picture of a Queue suggests an array
- Idea: maintain two pointers "head" and "tail"
- Enqueue items at the tail
- Dequeue items at the head
- (Maintains FIFO ordering)

# **Alternative Structure: Linked List**

- We could implement the same behavior using a linked list
- A list consists of nodes, each of which holds a key (object).



#### **Alternative Structure: Linked List**

- We could implement the same behavior using a linked list
- A list consists of nodes, each of which holds a key (object).



• Each node's next pointer points to its successor.

#### **Alternative Structure: Linked List**

- A basic linked list has a head pointer to its first node.
- The last node's next pointer is null.



(There are fancier lists, e.g. *doubly-linked* with next *and* previous pointers, but we'll focus on this basic list for now.)

## Implementing a Queue With a List

• How can we map the basic Queue operations onto a linked list?

• Need enqueue, dequeue

# Implementing a Queue With a List (One Way)

- Enqueue items at the end of the list
- Dequeue from the beginning of the list
- FIFO order is preserved!

# **Performance Implications**

• In an array-based queue, cost of enqueue, dequeue is ???

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- In an array-based queue, cost of enqueue, dequeue is Θ(1)
- [read/write one element and bump a pointer]

# **Performance Implications**

- In an array-based queue, cost of enqueue, dequeue is Θ(1)
- [read/write one element and bump a pointer]
- But for our list-based queue, enqueue must find the tail to add a new object.
- Moving from head to tail requires following Θ(n) pointers in an n-element list.

Performance of an ADT is sensitive to the data structure used to implement it.

#### What's Next?

- We'll look at an ADT for which neither arrays nor lists provide satisfactory performance for all operations.
- We'll see an entirely new data structure to implement it.
- We'll reason about the performance of this structure.